

# Biofrontera AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

Biofrontera AG

06.06.2019 / 18:04

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

Name:	Biofrontera AG
Street:	Hemmelrather Weg 201
Postal code:	51377
City:	Leverkusen Germany
Legal Entity Identifier (LEI):	391200D6GFSVFGFQTL13

### 2. Reason for notification

<input type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input checked="" type="checkbox"/>	Other reason: Voluntary group notification due to threshold tangency of a subsidiary

### 3. Details of person subject to the notification obligation

Legal entity: Deutsche Balaton Aktiengesellschaft
City of registered office, country: Heidelberg, Germany

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

**SPARTA AG**

### 5. Date on which threshold was crossed or reached:

**31 May 2019**

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	12.75 %	%	12.75 %	44,632,674
Previous notification	12.62 %	%	12.62 %	/

### 7. Details on total positions

#### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)

DE0006046113	1,328,447	4,364,299	2.98 %	9.78 %
<b>Total</b>	<b>5,692,746</b>		<b>12.75 %</b>	

**b.1. Instruments according to Sec. 38 (1) no. 1 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
			0	0.00 %
		<b>Total</b>	<b>0</b>	<b>0.00 %</b>

**b.2. Instruments according to Sec. 38 (1) no. 2 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
				0	0.00 %
			<b>Total</b>	<b>0</b>	<b>0.00 %</b>

**8. Information in relation to the person subject to the notification obligation**

<input type="checkbox"/>	Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
Deutsche Balaton Aktiengesellschaft	%	%	%
ABC Beteiligungen AG	%	%	%
Deutsche Balaton Aktiengesellschaft	%	%	%
Prisma Equity AG	%	%	%
Deutsche Balaton Aktiengesellschaft	%	%	%
Deutsche Balaton Biotech AG	%	%	%
Deutsche Balaton Aktiengesellschaft	%	%	%
SPARTA AG	6.72 %	%	6.72 %

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

**10. Other explanatory remarks:**

Date

06 Jun 2019

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