

Biofrontera AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

Biofrontera AG

23.05.2019 / 15:14

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.
The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

Name:	Biofrontera AG
Street:	Hemmelrather Weg 201
Postal code:	51377
City:	Leverkusen Germany
Legal Entity Identifier (LEI):	391200D6GFSVFGFQTL13

2. Reason for notification

<input type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input checked="" type="checkbox"/>	Other reason: Voluntary group notification due to threshold tangency of a subsidiary

3. Details of person subject to the notification obligation

Natural person (first name, surname):	Wilhelm K. T. Zours
Date of birth:	28 Jul 1961

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

DELPHI Unternehmensberatung Aktiengesellschaft Deutsche Balaton Aktiengesellschaft SPARTA AG
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5. Date on which threshold was crossed or reached:

17 May 2019

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	22.23 %	0.00 %	22.23 %	44,632,674
Previous notification	20.06 %	3.74 %	20.06 %	/

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute	In %

	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE0006046113	0	9,920,174	0.00 %	22.23 %
Total	9,920,174		22.23 %	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
		Total	0	0.00 %

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
			Total	0	0.00 %

8. Information in relation to the person subject to the notification obligation

<input type="checkbox"/>	Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
Wilhelm K. T. Zours	%	%	%
DELPHI Unternehmensberatung Aktiengesellschaft	9.61 %	%	9.61 %
VV Beteiligungen Aktiengesellschaft	%	%	%
Deutsche Balaton Aktiengesellschaft	5.17 %	%	5.17 %
ABC Beteiligungen AG	%	%	%
-	%	%	%
Wilhelm K. T. Zours	%	%	%
DELPHI Unternehmensberatung Aktiengesellschaft	9.61 %	%	9.61 %
VV Beteiligungen Aktiengesellschaft	%	%	%
Deutsche Balaton Aktiengesellschaft	5.17 %	%	5.17 %
Prisma Equity AG	%	%	%
-	%	%	%
Wilhelm K. T. Zours	%	%	%
DELPHI Unternehmensberatung Aktiengesellschaft	9.61 %	%	9.61 %
VV Beteiligungen Aktiengesellschaft	%	%	%
Deutsche Balaton Aktiengesellschaft	5.17 %	%	5.17 %
Deutsche Balaton Biotech AG	%	%	%
-	%	%	%

Wilhelm K. T. Zours	%	%	%
DELPHI Unternehmensberatung Aktiengesellschaft	9.61 %	%	9.61 %
VV Beteiligungen Aktiengesellschaft	%	%	%
Deutsche Balaton Aktiengesellschaft	5.17 %	%	5.17 %
SPARTA AG	4.48 %	%	%

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

10. Other explanatory remarks:

□

Date

23 May 2019

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